



Practitioner's Docket No. U 013390-0

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: HITOSHI OTA, et al

Serial No.: 09/832,171

Group No.: 1714

Filed: April 10, 2001

Examiner: CALLIE E. SHOSHO

For: PROCESS FOR THE PREPARATION OF PIGMENT DISPERSION, PIGMENT DISPERSION BY THE SAME, INK JET RECORDING INK COMPRISING THE SAME, AND RECORDING METHOD AND RECORDED MATERIAL USING THE SAME

**RESPONSE UNDER
37 C.F.R. 1.116
EXPEDITED PROCEDURE
EXAMINING GROUP
1714**

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AMENDMENT OR RESPONSE AFTER FINAL REJECTION-FIRST PAGE

In response to the final action of June 1, 2004, please amend the application as follows:

CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10*
(When using Express Mail, the Express Mail label number is mandatory;
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

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- ☒ deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

37 C.F.R. 1.8(a)

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37 C.F.R. 1.10*

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CLIFFORD J. MASS

(type or print name of person certifying)

Date: September 3, 2004

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"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

(Amendment or Response after Final Rejection—First Page) 9-20.1

09/08/2004 EAREGAY1 00000079 09832171 468.00 DP
02 FC:1202
09/14/2004 ASELLMAN 00000003 120425 09832171
01 FC:1202 18.00 DA

PATENT APPLICATION FEE DETERMINATION RECORD

Effective October 1, 2000

Application or Docket Number

09/832171

CLAIMS AS FILED - PART I

	(Column 1)	(Column 2)
TOTAL CLAIMS	..	
FOR	NUMBER FILED	NUMBER EXTRA
TOTAL CHARGEABLE CLAIMS	28 minus 20 =	6
INDEPENDENT CLAIMS	1 minus 3 =	..
MULTIPLE DEPENDENT CLAIM PRESENT <input type="checkbox"/>		

* If the difference in column 1 is less than zero, enter "0" in column 2

SMALL ENTITY TYPE ☐

OR OTHER THAN SMALL ENTITY

RATE	FEE		RATE	FEE
BASIC FEE	355.00	OR	BASIC FEE	710.00
X\$ 9=		OR	X\$18=	108
X40=		OR	X80=	/
+135=		OR	+270=	/
TOTAL		OR	TOTAL	818

CLAIMS AS AMENDED - PART II

	(Column 1)		(Column 2)		(Column 3)
AMENDMENT A	D				
	CLAIMS REMAINING AFTER AMENDMENT			HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
	Total	33	Minus	33	= 0
	Independent	1	Minus	3	= 0
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>					

SMALL ENTITY OR OTHER THAN SMALL ENTITY

RATE	ADDITIONAL FEE		RATE	ADDITIONAL FEE
X\$ 9=		OR	X\$18=	
X40=		OR	X80=	
+135=		OR	+270=	
TOTAL ADDIT. FEE		OR	TOTAL ADDIT. FEE	0

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	(Column 1)		(Column 2)		(Column 3)
AMENDMENT B	E				
	CLAIMS REMAINING AFTER AMENDMENT			HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
	Total	60	Minus	33	= 27
	Independent	2	Minus	3	= 0
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>					

RATE	ADDITIONAL FEE		RATE	ADDITIONAL FEE
X\$ 9=		OR	X\$18=	486
X40=		OR	X80=	/
+135=		OR	+270=	/
TOTAL ADDIT. FEE		OR	TOTAL ADDIT. FEE	486

	(Column 1)		(Column 2)		(Column 3)
AMENDMENT C					
	CLAIMS REMAINING AFTER AMENDMENT			HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
	Total		Minus	..	=
	Independent		Minus	...	=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>					

RATE	ADDITIONAL FEE		RATE	ADDITIONAL FEE
X\$ 9=		OR	X\$18=	
X40=		OR	X80=	
+135=		OR	+270=	
TOTAL ADDIT. FEE		OR	TOTAL ADDIT. FEE	

- * If the entry in column 1 is less than the entry in column 2, write "0" in column 3.
- ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20."
- *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3."
- The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.